# Sasakian Geometry: Recent Work of Kris Galicki

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# **Cone Sasakian transverse**

# **Motivation: Einstein Metrics**

1. Are Einstein manifolds scarce or numerous?

2. On a given manifold are there many or few Einstein metrics?

Sketch Proof: of existence of many positive Einstein metrics on many 2n + 1dim'l manifolds (n > 1).

### Main ingredients:

- 1. Contact geometry
- 2. Algebraic geometry

Main Reference: **C.P.B. and K. Galicki, Sasakian Geometry**, Oxford UP, 2008.

The metric g is **Einstein** if  $\operatorname{Ric}_g = \lambda g$ ,  $\lambda$  constant Three cases  $\lambda > 0, \lambda < 0, \lambda =$ 0. Here positive only  $\lambda > 0$ . Contact Manifold(compact)
A contact 1-form η such that

 $\eta \wedge (d\eta)^n \neq 0.$ 

defines a contact structure

$$\eta' \sim \eta \iff \eta' = f\eta$$

for some  $f \neq 0$ , take f > 0. or equivalently a codimension 1 subbundle  $\mathcal{D} = \text{Ker } \eta$  of TM.  $(\mathcal{D}, d\eta)$  symplectic vector bundle Unique vector field  $\xi$ , called the **Reeb vector field**, satisfying

 $\xi \rfloor \eta = 1, \qquad \xi \rfloor d\eta = 0.$ 

The characteristic foliation  $\mathcal{F}_{\xi}$ each leaf of  $\mathcal{F}_{\xi}$  passes through any nbd U at most k times  $\iff$ quasi-regular,  $k = 1 \leftrightarrow$  regular, otherwise irregular

Quasi-regularity is strong, most contact 1-forms are irregular.

Contact bundle  $\mathcal{D} \rightarrow$  choose almost complex structure J extend to  $\Phi$  with  $\Phi \xi = 0$ 

Get a compatible metric

 $g = d\eta \circ (\Phi \otimes \mathbb{1}) + \eta \otimes \eta$ 

Quadruple  $S = (\xi, \eta, \Phi, g)$  called contact metric structure

The pair  $(\mathcal{D}, J)$  is a strictly pseudoconvex almost CR structure. **Question**: Which contact metrics are Einstein?

**Definition**: The structure  $S = (\xi, \eta, \Phi, g)$  is **K-contact** if  $\pounds_{\xi}g = 0$  (or  $\pounds_{\xi}\Phi = 0$ ). It is **Sasakian** if in addition  $(\mathcal{D}, J)$  is integrable. Note: Quasi-regular  $\Rightarrow$  K-contact. **Thm**: (*B*-, *Galicki*) *K*-contact + Einstein  $\Rightarrow$  Sasaki-Einstein with  $\lambda > 0$ .

Remark: The only known contact metric that is Einstein and not Sasakian is the flat metric on 3-D torus  $T^3$  (or quotient thereof). Blair: this is the only flat contact metric.

orbifold Boothby-Wang: Manifold M compact with  $(\xi, \eta, \Phi, g)$ quasi-regular  $\Rightarrow$  quotient  $\mathcal{Z} = M/\mathcal{F}_{\xi}$ almost Kähler orbifold **Converse**:  $\mathcal{Z} = M/\mathcal{F}_{\xi}$  almost Kähler orbifold.  $\omega$  Kähler form with  $[\omega] \in H^2_{orb}(\mathcal{Z},\mathbb{Z})$ . Total space M of  $S^1$  orbibundle over  $\mathcal{Z}$  has K-contact structure.  $(\mathcal{Z}, \omega)$  is projective algebraic orbifold  $\iff$  $(\xi, \eta, \Phi, g)$  is Sasakian.

 $(\mathcal{Z}, \omega)$  Kähler-Einstein (KE) with  $\lambda > 0 \iff (\xi, \eta, \Phi, g)$  is Sasaki-Einstein (SE),  $\operatorname{Ric}_g = 2ng$ . **Question**: When do we have SE or KE metrics?

1.  $c_1^{orb}(Z) > 0$  (easy)

2. solve Monge-Ampère equation (hard, continuity method)

$$\frac{\det(g_{i\overline{j}} + \frac{\partial^2 \phi}{\partial z_i \partial \overline{z}_j})}{\det(g_{i\overline{j}})} = e^{f - t\phi}$$

Tian: uniform boundedness

$$\int_{\mathcal{Z}} e^{-\gamma t \phi_{t_0}} \omega_0^n < +\infty$$

Many people Yau, Tian, Siu, Nadel, and most recently by Demailly and Kollár in orbifold category. alebraic geometry of orbifolds: local unif covers, ram index:  $m_j$ branch divisor: Q-divisor

$$\Delta := \sum (1 - \frac{1}{m_j}) D_j$$

canonical orbibundle

$$K_{\mathcal{Z}}^{orb} = K_{\mathcal{Z}} + \sum (1 - \frac{1}{m_j})[D_j],$$

Kawamata log terminal (klt): For every  $s \ge 1$  and holomorphic section  $\tau_s \in H^0(\mathcal{Z}, \mathcal{O}((K_{\mathcal{Z}}^{orb})^{-s}))$  there is  $\gamma > \frac{n}{n+1}$  such that  $|\tau_s|^{-\gamma/s} \in L^2(\mathcal{Z})$ .

Theorem 2:  $c_1^{orb}(\mathcal{Z}) > 0$ , klt  $\Rightarrow$ Sasaki-Einstein metric.

How to find them:

 Links of weighted homogeneous polynomials. C.B., Galicki, Kollár, Nakamaye

#### **Sasakian Geometry of Links**

 $\mathbb{C}^{n+1}$  coordinates  $\mathbf{z} = (z_0, \dots, z_n)$ weighted  $\mathbb{C}^*$ -action

 $(z_0,\ldots,z_n)\mapsto (\lambda^{w_0}z_0,\ldots,\lambda^{w_n}z_n),$ 

weight vector  $\mathbf{w} = (w_1, \cdots, w_n)$ with  $w_j \in \mathbb{Z}^+$  and  $gcd(w_0, \dots, w_n) = 1.$ 

*f* weighted homogeneous polynomial

 $f(\lambda^{w_0}z_0,\ldots,\lambda^{w_n}z_n)=\lambda^d f(z_0,\ldots,z_n)$ 

 $d \in \mathbb{Z}^+$  is **degree** of *f*.

 $0 \in \mathbb{C}^{n+1}$  isolated singularity. link  $L_f$  defined by

 $L_f = f^{-1}(0) \cap S^{2n+1},$ 

 $S^{2n+1}$  unit sphere in  $\mathbb{C}^{n+1}$ 

Special Case: Brieskorn-Pham poly. (BP)

 $f(z_0,\ldots,z_n)=z_0^{a_0}+\cdots+z_n^{a_n}$ 

Fact: *L<sub>f</sub>* has natural Sasakian structure with commutative diagram: Sasaki, Abe, Takahashi, C.B, Galicki



horizontal arrows: Sasakian and Kählerian embeddings.

vertical arrows: orbifold Riemannian submersions.

 $S_{\mathbf{w}}^{2n+1}$  weighted sphere  $\mathbb{P}_{\mathbb{C}}(\mathbf{w})$  weighted projective space

#### **Topology of Links**

Milnor Fibration Theorem  $\Rightarrow L_f$ is (n-2)-connected. So topology is determined by  $H_{n-1}(L_f,\mathbb{Z})$ . **monodromy** map  $h_*$  induced by  $S_{\mathbf{w}}^{\perp}$  action  $\Rightarrow$  Alexander polynomial  $\Delta(t) = \det(t\mathbb{I} - h_*) \rightarrow b_{n-1}(L_f)$ = # of factors of (1-t) in  $\Delta(t)$ Torsion: Orlik conjecture: Holds for BP's, and generally for dimensions 3 and 5.

BP: spheres– Brieskorn Graph Thm.

Sasaki-Einstein metrics Positivity  $\Rightarrow I = (\sum w_i - d) > 0$ klt estimates for  $L_f$ 

 $d(\sum w_i - d) < \frac{n}{n-1} \min_{i,j} w_i w_j.$ 

BP polyn: (better)

$$1 < \sum_{i=0}^{n} \frac{1}{a_i} < 1 + \frac{n}{n-1} \min_{i} \{\frac{1}{a_i}, \frac{1}{b_i b_j}\}.$$

 $a_i$  BP exponents and

 $b_i = \gcd(a_i, \operatorname{lcm}(a_j \mid j \neq i))$ 

 $\exists$  other estimates. Positivity plus a klt estimate  $\Rightarrow$  SE metric

# SE Moduli: infinitesimal deformations

Thm  $L_f$  a link with index I > 0satisfying any klt estimate. Then  $L_f$  admits a  $\mu_{SE}$ -dimensional family of SE metrics where  $\mu_{SE}$  equals

 $2[h^0(\mathbb{CP}(\mathbf{w}),\mathcal{O}(d)) - \sum_i h^0(\mathbb{CP}(\mathbf{w}),\mathcal{O}(w_i))$ 

 $+ \dim \mathfrak{Aut}(\mathcal{Z}_f)$ ]

 $N_{SE} = \#$  of deformation classes SE metrics.

### Obstructions

Only known topological obstructions to Einstein metrics occur in dimension 4. Hitchin-Thorpe and more LeBrun There are obstructions to SE metrics: Gauntlett, Martelli, Sparks, Yau Estimate of Lichnerowicz  $\Rightarrow$  if  $I > n \min_i w_i$  then  $\nexists$  SE metrics. Only applies to KE orbifolds! Cases when estimate is sharp: (Ghigi-Kollár)  $\exists$  SE metric  $\iff$  $I < n \min_i w_i$  only homotopy spheres.

#### The Results

Homotopy Spheres:Kervaire,Milnor  $bP_{2n} =$  group of homotopy spheres  $S^{2n-1}$  that bound a parallelizable manifold.  $bP_8 = \mathbb{Z}_{28}, bP_{12} =$   $\mathbb{Z}_{992}, bP_{16} = \mathbb{Z}_{8128}, bP_{4n+2} = 0$ or  $\mathbb{Z}_2; bP_6 = bP_{14} = bP_{30} = 0.$ (B,Galicki,Kollár)

• Each 28 diffeo types of  $S^7$  admits hundreds of SE metrics. Largest  $\mu_{SE} = 82$ , standard  $S^7$ . • All 992 diffeo types in  $bP_{12}$  and all 8128 diffeo types in  $bP_{16}$  admit SE metrics.

• All elements of  $bP_{4n+2}$  admit SE metrics.

**Conjecture**: All elements of  $bP_{2n}$ admit SE metrics.

• Both  $N_{SE}$  and  $\mu_{SE}$  grow double exponentially with dimension. Reason for growth: Sylvester's sequence determined by  $c_{k+1} =$  $1+c_0 \cdots c_k$  begins as 2, 3, 7, 43, 1807, 3263443, 10650056950807,... Sequences  $a = (a_0 = c_0, \dots, a_{n-1} = c_{n-1}, a_n)$  with  $c_{n-1} < a_n < c_0 \cdots c_{n-1}$ give SE metrics

Examples: (1)  $N_{SE}(S^{13}) > 10^9$  and  $\mu_{SE}(S^{13}) = 21300113901610$ 

(2)  $N_{SE}(S^{29}) > 5 \times 10^{1666}$  and  $\mu_{SE}(S^{29}) > 2 \times 10^{1667}$ 

**Conjecture**: Both  $N_{SE}(S^{2n-1})$ and  $\mu_{SE}(S^{2n-1})$  are finite.

#### Sasaki Geometry Dimension 5

Smale-Barden classification: 5manifolds  $M^5$  with  $\pi_1(M^5)$  trivial. Assume spin  $\Rightarrow$  Smale **BUILDING BLOCKS** 

 $M_{\infty} = S^2 \times S^3, \ M_1 = S^5$ 

 $M_j, H_2(M_j, \mathbb{Z}) = \mathbb{Z}_j \oplus \mathbb{Z}_j$ 

 $M^5 = M_{k_1} \# \cdots \# M_{k_s},$ 

 $k_i$  divides  $k_{i+1}$  or  $k_{i+1} = \infty$ .

Which  $M^5$  admit Sasakian structures?

Not known generally. However, Kollár: write (p prime)

$$H_2(M^5,\mathbb{Z}) = \mathbb{Z}^k \oplus \bigoplus_{p,i} (\mathbb{Z}_{p^i})^{c(p^i)}$$

Sasakian  $\Rightarrow$ 

 $\#\{i \mid c(p^i) > 0\} \le k+1$ 

Example:  $M_p^5 \# M_{p^2}^5$  is **not** Sasakian, but both  $M_p^5$  and  $M_{p^2}^5$  are.  $M^5$  Sasakian  $\Rightarrow$  $H_2(M^5,\mathbb{Z}) = \mathbb{Z}^k \oplus \Sigma_i(\mathbb{Z}_{m_i})^{2g(D_i)}$ where  $D_i$  branch divisor = Riemann surface genus  $g(D_i)$  Kollár

Assume positive Sasakian: Kollár:  $H_2(M^5, \mathbb{Z})_{tor}$  must be  $\mathbb{Z}_m^2, \mathbb{Z}_2^{2n}, \mathbb{Z}_3^4, \mathbb{Z}_3^6, \mathbb{Z}_3^8, \mathbb{Z}_4^4, \mathbb{Z}_5^4$ Note  $m = 1 \Rightarrow$  no torsion. All such groups occur, but not all rat'l homology spheres.  $M_\infty \# M_{30k}$ admits SE metric, but  $M_{30k}$  does not! All the others do. (B-,Galicki,Nakamaye; Kollár):  $nM_{\infty}$ all admit SE metrics  $N_{SE}(nM_{\infty}) = \infty \forall n > 0.$ 

Rational homology spheres ( $\pi_1$ trivial) (B-, Galicki; Kollár) with  $c_1^{orb} > 0 \iff$  $nM_2, n > 0, M_m, m \neq 30k,$  $2M_3, 3M_3, 4M_3, 2M_4, 2M_5$ all known to admit SE except  $nM_2$  with  $n \ge 8$  or n = 4.  $N_{SE}(2M_5) = N_{SE}(4M_3) = 1$  $\mu_{SE}(2M_5) = 6, \ \mu_{SE}(4M_3) = 14$  $N_{SE}(2M_4) = 2$  (Kollár)

Mixed types:  $kM_{\infty}\#N$ , k > 0, m > 1; N = a rat'l homology sphere as above, but now we can have m = 30l.

 $m \ge 12 \Rightarrow k \le 8$  BGK

 $kM_{\infty} \# M_m$  where  $1 \le k \le 8$  and  $m \ge 12$  have SE metrics, and  $N_{SE}(M_{\infty} \# M_m) = 4;$   $N_{SE}(2M_{\infty} \# M_m) = 3;$   $N_{SE}(kM_{\infty} \# M_m) = 2, \ k = 3,4;$   $N_{SE}(kM_{\infty} \# M_m) = 1, \ k = 5, \dots, 8.$   $N = 4M_3, \ 2M_5 \Rightarrow k = 0.$  $N = 2M_4 \Rightarrow k = 0, 1.$  (Kollár)

- $M_{\infty} \# M_m$  has SE metrics for  $m = 2, \dots, 7$ .
- $M_{\infty} \# n M_3$  has SE metrics for n = 2, 3.
- $2M_{\infty} \# M_m$  has SE metrics for  $m = 2, \dots, 5$ .
- $3M_{\infty} \# M_m$  has SE metrics for
- m = 2, 3, 4, 7, 9, 10.
- $4M_{\infty} \# M_m$  has SE metrics for
- $4 \neq m \geq 2$ .
- $4M_{\infty} \# 2M_2$  has SE metrics.
- $5M_{\infty} \# M_m$  has SE metrics for m = 2. (B-,Nakamaye)

- $5M_{\infty} \# 2M_2$  has SE metrics
- $6M_{\infty} \# M_m$  has SE metrics for  $m \ge 2$ .
- $7M_{\infty} \# M_m$  has SE metrics for  $m \ge 3$ .
- $8M_{\infty} \# M_m$  has SE metrics for  $m \ge 5$ . (B-,Galicki)
- SE unknown for  $kM_{\infty} \# M_m$  with k > 8 and  $2 \le m < 12$ .

**Problem**: Completeness of SE moduli. Relate to Einstein moduli (Koiso).

#### **Higher Dimension**

• Sasakian with pos Ricci curv on  $2k(S^{2n-1} \times S^{2n})$  for different diffeo types. E.g. on all 28 diffeo classes for  $2(S^3 \times S^4)$ . SE for  $222(S^3 \times S^4)$  and  $480(S^3 \times S^4)$  (C.B.,Galicki)

- SE metrics on  $S^2 \times S^5$ (C.B., Galicki, Ornea)
- Many others with torsion